

Doc Code: M865 or FAIREQ.INTV

PTOL-413A (08-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form

Application No.: 10/687,784 First Named Applicant: Gaurav Singh
Examiner: Leon T. Andrews Art Unit: 9800 Status of Application: Pending

Tentative Participants:

(1) Peter C. Mei (2) Frederick Hsu
(3) _____ (4) _____

Proposed Date of Interview: 9/2/2011 or 9/6/2011 Proposed Time: TBD (AM/PM)

Type of Interview Requested:

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: _____

Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rej.</u>	<u>1-4, 9-9, 27-28, 30</u>	<u>Wong</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>Allowed</u>	<u>21, 23-25, 31-32</u>	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached ☐ Proposed Amendment or Arguments Attached

Brief Description of Arguments to be Presented: Please See Attached.

An interview was conducted on the above-identified application on _____

NOTE: This form should be completed and filed by applicant in advance of the interview (see MPEP § 713.01). If this form is signed by a registered practitioner not of record, the Office will accept this as an indication that he or she is authorized to conduct an interview on behalf of the principal (37 CFR 1.32(a)(3)) pursuant to 37 CFR 1.34. This is not a power of attorney to any above named practitioner. See the Instruction Sheet for this form, which is incorporated by reference. By signing this form, applicant or practitioner is certifying that he or she has read the Instruction Sheet. After the interview is conducted, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible. This application will not be delayed from issue because of applicant's failure to submit a written record of this interview.

/Frederick Hsu/

Applicant/Applicant's Representative Signature

Frederick Hsu

Typed/Printed Name of Applicant or Representative

65,375

Registration Number, if applicable

Examiner/SPE Signature

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 24 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

AUG 31 2011

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Instruction Sheet for:
APPLICANT INITIATED INTERVIEW REQUEST FORM
(Not to be Submitted to the USPTO)

1. If this form is signed by a registered practitioner not of record, the authority to submit the Applicant Initiated Interview Request Form is pursuant to limited authority to act in a representative capacity under 37 CFR 1.34 and further proof of authority to act in a representative capacity may be required. See 37 CFR 1.34.

The Office will accept the signed form as an indication that the registered practitioner not of record is authorized to conduct an interview on behalf of the principal in pursuant to 37 CFR 1.34.

For more information, see the "Conducting an Interview with a Registered Practitioner Acting in a Representative Capacity" notice which is available on the USPTO Web site at: <http://www.uspto.gov/patents/law/notices/2010.jsp>.

2. This is not a power of attorney to any named practitioner. Accordingly, any registered practitioner not of record named on the form does not have authority to sign a request to change the correspondence address, a request for express abandonment, a disclaimer, a power of attorney, or other document requiring the signature of the applicant, assignee of the entire interest or an attorney of record. If appropriate, a separate power of attorney to the named practitioner should be executed and filed in the US Patent and Trademark Office.
3. Any interview concerning an unpublished application under 35 U.S.C. § 122(b) with a registered practitioner not of record, pursuant to 37 CFR 1.34, will be conducted based on the information and files supplied by the practitioner in view of the confidentiality requirements of 35 U.S.C. § 122(a).

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Dear Examiner Andrews,

The following are the three items for discussion regarding Application 10/687,784. Please contact either Peter C. Mei at (408) 321-8663 x 208 or Fred Hsu at (408) 321-8663 x 210 to set up an interview date/time. Thank you for your time.

Item #1

Discuss differences between claim 1, and its corresponding dependent claims, and prior art (Wong). The discussion will focus on the "linked-list table" in claim 1 and the failure of Wong to disclose a "linked-list table".

Item #2

Discuss differences between claim 1, and its corresponding dependent claims, and prior art (Wong). The discussion will focus on structural differences (e.g., differences in tables used to determine replication of packets) between the claimed invention and prior art (Wong).

Item #3

Discuss potential modifications to allowed claims 21, 23-25, 31-32. The discussion will focus on modifications to the "encoding format" in claim 21.

Regards,
/Frederick Hsu/
Frederick Hsu
Reg. No. 65,375

Date: August 31, 2011